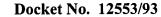
PTO/SB/21 (05-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/620.630 Filing Date **TRANSMITTAL** July 15, 2003 **FORM** First Named Inventor Yuanzhan HU et al. Art Unit (to be used for all correspondence after initial filing) **TBA** Examiner Name **TBA** Attorney Docket Number 12553/93 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): 1. Form PTO-1449 with (4) references Request for Refund **Express Abandonment Request** PCT International Search Report Postcard CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT KENYON & KENYON Firm Stephen T. Neal Individual name (Reg. No. 47,815) Signature Date September 16, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: M/S: DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Pilar Rodriguez September 16, 2003 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





# IN THE UNTIED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

Yuanzhan HU et al.

SERIAL NO.

10/620,630

**FILED** 

July 15, 2003

FOR

SYSTEM AND METHOD FOR CALIBRATING A HARD DISC

DRIVE MAGNETIC HEAD FLYING HEIGHT TESTER BY

OPTICAL INTERFERENCE TECHNIQUES

GROUP ART UNIT:

**TBA** 

**EXAMINER** 

**TBA** 

M/S: DD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: M/S: DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

1450 on

Date: September 16, 2003

Pilor Podriguez

# INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Submitted herewith pursuant to 37 CFR §1.56 is an Information Disclosure Statement with four (4) references listed on the attached PTO-1449 (modified) form. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The information disclosure statement, along with the International Search Report, is being

submitted under 37 C.F.R. §1.97(c)(1). I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

If any fee is required, please charge Kenyon & Kenyon, Deposit Account No. 11-0600.

Respectfully submitted,

**KENYON & KENYON** 

Dated: September 16, 2003

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(Reg. No. 47,815)

Kenyon & Kenyon 333 W. San Carlos Street, Suite 600 San Jose, CA 95110-2711

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(408) 975-7501

# SE 22 MB ...

# Form PTO-1449 (modified)

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

Attorney Docket No. 12553/93	<b>Serial No.</b> 10/620,630
Applicant Yuanzhan HU et al.	
Filing Date July 15, 2003	Group Art Unit TBA

### **U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	4,624,565A	November 25, 1986	Dahlgren	356	243	April 1, 1985
-	5,280,340A	January 18, 1994	Lacey	356	357	October 23, 1991
	5,410,402A	April 25, 1995	Li et al.	356	243	January 24, 1994
	2002/003577A1	March 28, 2002	Hashimoto et al.	29	603.09	August 23, 2001

<sup>\* -</sup> If pertinent

### FOREIGN PATENT DOCUMENTS

					•	TRANSLATION	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO

## OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.			
EXAMINER	DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.